MARINE GUIDANCE NOTE



MGN 324 (M+F) Amendment 1

Navigation: Watchkeeping Safety – Use of VHF Radio and AIS

Notice to all Owners, Masters, Officers and Pilots of Merchant Ships; Nautical Training Institutions; Owners and Skippers of Fishing Vessels, and Owners of Yachts and Pleasure Craft.

This Note replaces Marine Guidance Notes MGN 324 (M+F) (Correction) and MGN 324 (M+F) (Corrected)

Summary

Given the continuing number of casualties where the misuse of very high frequency marine radio has been established as a contributory factor, it has been decided to re-issue the MCA guidance on the use of Very High Frequency radio (VHF). Additionally, appropriate use of the Automatic Identification System (AIS), especially with regard to collision avoidance, has been highlighted in conformance with the IMO guidelines which have been revised in December 2015.

Key Points

- Although the use of Very High Frequency (VHF) radio transciever may be justified on occasion as a collision avoidance aid, the provisions of the International Regulations for Preventing Collisions at Sea, 1972 (COLREG) should remain uppermost.
- The use of marine VHF equipment must be in accordance with the International Telecommunication Union (ITU) Radio Regulations.
- There is currently no explicit provision in the COLREG for the use of AIS information, however, the potential of AIS to improve situation awareness is recognised and AIS may be included as such in the future.
- The navigation safety related functions of AIS are to help identify ships, assist in target tracking and provide additional information to assist situation awareness.
- Limitations of AIS with respect to mandatory carriage based on vessel types and sizes have also been highlighted.



1. Introduction/ Background

- 1.1 The International Maritime Organization (IMO) and wider maritime community has noted with concern the widespread misuse of VHF channels at sea, especially the distress, safety and calling Channels 16 (156.8 MHz) and 70 (156.525 MHz), and channels used for port operations, ship movement services and reporting systems. Although at sea VHF makes an important contribution to navigation safety, its misuse causes serious interference and, in itself, becomes a danger to safety at sea. IMO Member Governments have unanimously agreed to ensure that VHF channels are used appropriately and correctly.
- 1.2 It should be borne in mind that not all ships or marine craft carry or are required to carry AIS. The officer of the watch (OOW) should always be aware that other ships, in particular leisure craft, fishing vessels and warships, and some coastal shore stations, including Vessel Traffic Service (VTS) centres, might not be fitted with AIS.
- 1.3 The OOW should always be aware that AIS fitted on other vessels as a mandatory carriage requirement might, under certain circumstances, be switched off on the master's discretion and professional judgement. Users are, therefore, cautioned always to bear in mind that information provided by AIS may not be giving a complete or correct "picture" of shipping traffic in their vicinity.

2. VHF Communications and usage

- 2.1 All users of marine VHF on United Kingdom vessels and all other vessels in UK territorial waters and harbours are reminded in conformance with international and national legislation, that marine VHF equipment may only be used in accordance with the ITU Radio Regulations. These Regulations specifically prescribe that:
 - a) Channel 16 may only be used for distress, urgency and very brief safety communications, and for calling to establish other communications which should then be concluded on a suitable working channel;
 - b) Channel 70 may only be used for Digital Selective Calling, not oral communication;
 - c) On VHF channels allocated to port operations or ship movement services, such as VTS, the only messages permitted are restricted to those relating to operational handling, the movement and the safety of ships and to the safety of persons;
 - d) All conversations must be preceded by an identification, for example the vessel's name or call sign; and
 - e) The service of every VHF radio telephone station must be controlled by an operator holding a certificate issued or recognised by the station's controlling Administration. This is usually the country of registration, if the vessel is registered. Provided that the Station is so controlled, other persons besides the holder of the certificate may use the equipment.
- 2.2 Channels 6, 8, 72 and 77 have been made available, in UK waters, for routine ship-to-ship communications, Masters, Skippers and Owners are urged to ensure that all ship-to-ship communications working in these waters is confined to these channels, selecting the channel most appropriate in the local conditions at the time.



- 2.3 Channel 13 is designated for use on a worldwide basis as a navigation safety communication channel, primarily for inter-ship navigation safety communications. It may also be used for the ship movement and port services.
- 2.4 IMO Resolution A.954(23), *Proper use of VHF Channels at Sea*, should be consulted. To get indicative information on typical VHF communication ranges, the section "The Management of VHF" within Admiralty List of Radio Signals Volume 5, published by the United Kingdom Hydrographic Office, may be consulted.

3. Use of VHF to Aid Collision Avoidance

- 3.1 There have been a significant number of collisions where subsequent investigations have found that at some stage before impact, one or both parties were using VHF radio in an attempt to avoid collision. The use of VHF radio in these circumstances is not always helpful and may even prove to be dangerous.
- 3.2 At night, in restricted visibility or when there are more than two vessels in the vicinity, the need for positive identification is essential but this can rarely be guaranteed. Uncertainties can arise over the identification of vessels, correlation and interpretation of messages received. Even where positive identification has been achieved there is still the possibility of a misunderstanding due to language difficulties however fluent the parties concerned might be in the language being used. An imprecise or ambiguously expressed message could have serious consequences.
- 3.3 Valuable time can be wasted whilst mariners on vessels approaching each other try to make contact on VHF radio instead of complying with the COLREG. There is the further danger that even if contact and identification are achieved and no difficulties over the language of communication or message content arise, a course of action might still be chosen that does not comply with the COLREG. This may lead to the collision it was intended to prevent.
- 3.4 In 1995, the judge in a collision case said -"It is very probable that the use of VHF radio for conversation between these ships was a contributory cause of this collision, if only because it distracted the officers on watch from paying careful attention to their radar. I must repeat, in the hope that it will achieve some publicity, what I have said on previous occasions that any attempt to use VHF to agree the manner of passing is fraught with the danger of misunderstanding. Marine Superintendents would be well advised to prohibit such use of VHF radio and to instruct their officers to comply with the Collision Regulations."
- 3.5 In a case published in 2002, one of two vessels, approaching each other in fog, used the VHF radio to call for a red to red (port to port) passing. The call was acknowledged by the other vessel but unfortunately, due to the command of English on the calling vessel, what the caller intended was a green to green (starboard to starboard) passing. The actions were not effectively monitored by either of the vessels and collision ensued.
- 3.6 Again, in a case published in 2006 one of two vessels, approaching one another involving a close quarter's situation, agreed to a starboard to starboard passing arrangement with a person on board another, unidentified ship, but not the approaching vessel. Furthermore, the passing agreement required one of the vessels to make an alteration of course contrary to the requirements of the applicable Rule in the COLREG. Had the vessel agreed to a passing arrangement requiring her to manoeuvre in compliance with the COLREG, the ships would have passed clear, despite the misidentification of ships on the VHF radio. Unfortunately, by the time both vessels realised that the ships had turned towards each other the distance between them had further reduced to the extent that the last minute avoiding action taken by both ships was unable to prevent a collision.



- 3.7 More recently, in 2014, inappropriate use of VHF radios was highlighted as a major factor in collision between a bulk carrier and container ship which occurred in open sea with very sparse traffic around the vessels. Navigating officers on both vessels relied solely on the VHF for collision avoidance decision to negotiate a manoeuvre that was contrary to the COLREG. To further complicate the matter, VHF radio communications were not conducted in English which was both of the ships' working language, and which confused a relieving officer on one of the vessels who was not able to understand what had been tacitly agreed via the VHF communications.
- 3.8 Although the practice of using VHF radio as a collision avoidance aid may be resorted to on occasion, for example in pilotage waters, the risks described in this Note should be clearly understood and the COLREG complied with to their best possible extent.

4. Use of Automatic Identification System (AIS)

- 4.1 AIS operates primarily on two dedicated VHF channels (AIS1 161.975 MHz and AIS2 162.025 MHz). Where these channels are not available regionally, the AIS is capable of automatically switching to alternate designated channels. AIS has now been installed on the majority of commercial vessels, and has the potential to make a significant contribution to safety. However, the mariner should treat the AIS information with caution, noting the following important points.
- 4.2 Mariners on craft fitted with AIS should be aware that the AIS will be transmitting ownship data to other vessels and shore stations.

To this end they are advised to:

- a) initiate action to correct any known improper installation;
- b) ensure the correct information on the vessel's identity, position, and movements (including voyage-specific) is transmitted; and
- c) ensure that the AIS, if being off for any reason, is turned on, at least within 100 nautical miles of the coastline of the United Kingdom.
- 4.3 The simplest means of checking whether ownship is transmitting correct information on identity, position and movements is by contacting other vessels or shore stations. Increasingly, UK maritime rescue coordination centres and port authorities are being equipped as AIS base stations. As more base stations are established ashore AIS may be used to provide a monitoring system in conjunction with Vessel Traffic Services and Ship Reporting (SOLAS Chapter V, Regulations 11 and 12 refer).
- 4.4 Many ship owners have opted for the least-cost AIS installation to meet the mandatory carriage requirement. By doing so many of the benefits offered by graphic display (especially AIS on radar) are not realised with the 3-line 'Minimum Keyboard Display' (MKD), although the unit may still be duly type approved.
- 4.5 It is becoming common practice for pilots to possess their own portable navigational equipment which they carry on board. Such devices can be connected to shipborne AIS equipment and display the targets they receive. This, so called, Pilot Connector Socket and suitable power outlet should be located somewhere of practical use to a marine pilot who may carry compatible AIS equipment. This should be somewhere close to the wheelhouse main conning position. Less accessible locations in chart rooms, e.g. at the after end of the wheelhouse are not recommended.



- 4.6 The routine updating of data into the AIS, at the start of the voyage and whenever changes occur, should be covered in the navigating officer's checklist and should consist of:
 - ship's draught;
 - hazardous cargo, if any;
 - destination and ETA;
 - route plan (way points);
 - correct navigational status; and
 - short safety-related messages.
- 4.7 The quality and reliability of position data obtained from targets will vary depending on the accuracy of the transmitting vessel's GNSS (Global Navigation Satellite System) receiver. It should be noted that older GNSS equipment (before 2003) may not produce Course Over Ground and Speed Over Ground (COG/SOG) data to the same accuracy as newer equipment.
- 4.8 IMO Resolution A.1106(29), *Revised Guidelines for the Onboard Operational Use of shipborne Automatic Identification Systems (AIS),* published December 2015, should be consulted for better understanding of the operational functions and limitations of the AIS.

5. Use of AIS to Support Safety of Navigation

- 5.1 Modern radar and ECDIS units (installed onboard on or after 1 July 2008) have provisions for AIS integration which is able to 'overlay' additional information on the radar and ECDIS displays. However, this also implies that there will be older AIS "stand alone" units without integration to other displays.
- 5.2 On the vessels with integrated AIS and radar, if the target data from AIS and radar tracking are both available, and if the target association criteria (for example position, motion) are fulfilled such that the AIS and radar information are considered as one physical target, the activated AIS target symbol and the alphanumeric AIS target data is automatically selected and displayed as priority. This should be treated with extreme caution and only used for enhancing situation awareness and not for collision avoidance decision making. Such systems are also required to have the provision of selecting an alternative priority whereby the radar-tracked targets and their data, including CPA (closest point of approach) and tCPA (time to CPA), are duly displayed.
- 5.3 AIS will provide identification of targets together with the static and dynamic information listed in the IMO AIS Guidelines (A.1106(29)). Mariners should, however, use this information with caution noting the following important points:
 - a) Collision avoidance must be carried out in strict compliance with the COLREG. There is no provision in the COLREG for use of AIS information, therefore, decisions should be taken based primarily on systematic visual and/or radar observations. The availability and display of AIS data similar to one produced by systematic radar target tracking (e.g. automatic radar plotting or tracking aid (ARPA, ATA)) should not be given priority over the latter. AIS target data will only be based on the target vessels' course and speed over ground whilst for COLREG compliance such data must be based on the vessels' course and speed through the water.
 - b) However, the use of AIS should NOT be considered to replace the need for a visual lookout or use of *"all available means"* but must be used to supplement information obtained from systematic radar plotting. It is possible that if over reliance is placed on



AIS information the OOW could be in breach of Rule 7(c) – "assumptions made on the basis of scanty information". Not all ships will be fitted with AIS, particularly small craft and fishing vessels. Other floating objects which may be conspicuous on the radar will not be displayed by AIS. AIS will, however, sometimes be able to detect targets which are in a radar shadow area.

- c) The use of VHF to discuss actions to take between approaching ships is fraught with danger and still discouraged. MCA's view is that identification of a target by AIS does not completely alleviate the danger. Decisions on collision avoidance should be made strictly according to the COLREG.
- d) AIS positions are derived from the target's GNSS receiver, usually GPS. This may not coincide exactly with the target as detected by radar.
- e) Faulty data input to AIS could lead to incorrect or misleading information being displayed on other vessels. Mariners should remember that information derived from radar plots relies solely upon data measured by the ownship's radar and provides an accurate measurement of the target's relative course and speed, which is the most important factor in deciding upon action to avoid collision. Existing ships of less than 500GT (gross tonnage) which are not required to fit a gyro compass are unlikely to transmit heading information.
- f) A recent development of AIS is the ability to provide synthetic AIS targets and virtual navigation marks enabling coastal authorities to provide an AIS symbol on the display in any position. Mariners should bear in mind that this ability could lead to the appearance of "virtual" AIS targets and therefore take particular care when an AIS target is not complemented by a radar target. IMO guidance as in MSC.1/Circ.147, Policy on Use of AIS Aids to Navigation, should be consulted.

More Information

Navigation Safety Branc Maritime and Coastguar Bay 2/24 Spring Place 105 Commercial Road Southampton SO15 1EG	h d Agency
Tel :	+44 (0) 203 8172 432
e-mail:	navigationsafety@mcga.gov.uk
Website Address:	www.gov.uk/government/organisations/maritime-and-coastguard-agency
General Inquiries:	infoline@mcga.gov.uk
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APPENDIX

- The MCA has established an AIS network in accordance with SOLAS Chapter V Regulation 19 and the European Traffic Monitoring Directive 2002/59/EC for base station transponders. The AIS network consists of base stations located as shown in the table.
- 2. The AIS Network is designed to operate within IMO guidelines and will be capable of receiving all message types and in particular AIS message type 5 *Ship Static and Voyage Related Data* provided at six-minute intervals in accordance with the ITU recommendation, ITU R M. 1371-5 (2014). This automated procedure will enable identification and tracking of suitably equipped vessels without further intervention from either the vessel's crew or coastguard personnel.

AIS Aerial Location	Latitude	Longitude	Grid Ref	MMSI
Dunnett Head	58 40.3N	003 22.5W	ND 203767	002320712
Durness	58 34.0N	004 44.5W	NC 399681	002320713
Gregness	57 07.7N	002 03.1W	NJ 969040	002320735
Noss Head	58 28.8N	003 03.0W	ND 389551	002320711
Rosemarkie	57 38.8N	004 4.5W	NH 762623	002320763
Windy Head	57 38.9N	002 14.7W	NJ 856621	002320736
Limavady	55 06.7N	06 53.4W	IH712297	002320764
Orlock Head	54 40.4N	00535.0W	IJ 560833	002320765
East Prawle	50 13.1N	003 42.6W	SX 781367	002320766
Glengorm	56 37.9N	006 07.9W	NM 466566	002320739
Kilchiaran	55 45.9N	006 27.3W	NR 207615	002320741
Law Hill	55 41.8N	004 50.5W	NS 215484	002320769
Pulpitt Hill	56 24.2N	005 29.1W	NM850290	002320767
South Knapdale	55 55.1N	005 27.7W	NR837748	002320768
Tiree (Ben Mhurstat)	56 30.2N	006 57.8W	NL 946457	002320740
Fairlight	50 52.3N	000 38.1E	TQ 869113	002320704
Dover CGOC	51 07.9N	001 20.7E	TR 340424	002320705
North Foreland	51 22.5N	001 26.8E	TR 339697	002320706
Lands End	50 08.1N	005 38.1W	SW 402327	002320721
Lizard	49 57.8N	005 12.5W	SW 701121	002320720
Scillies	49 55.8N	006 18.2W	SV 913121	002320723
Inverbervie	56 51.1N	002 15.7W	NO 842734	002320770
Fife Ness	56 16.7N	002 35.2W	NO 637097	002320734
St Abbs Crosslaw	55 54.5N	002 12.4W	NT 873683	002320710
South Stack	53 18.5N	004 41.2W	SH 212824	002320771
Cullercoats	55 04.3N	001 25.8W	NZ344755	002320708
Flamborough Head	54 07.1N	000 05.2W	TA 254708	002320709
Ravenscar	54 23.8N	000 30.3W	NZ972012	002320780
MCA Liverpool	53 29.8N	003 03.5W	SD 299006	002320772
Snaefell	54 15.8N	004 27.7W	SC 398882	002320718
Dinas	52 00.2N	004 543.6W	SN 012377	002320742
St Anns Head	51 41.0N	005 10.6W	SN 807029	002320719
The Grove	50 36.1N	002 27.1W	ST 368078	002320722
Collarfirth Hill	60 32.0N	001 23.4W	HU 335835	002320737
Compass Head	59 52.0N	001 16.3W	HU 408093	002320714
Saxa Vord	60 49.7N	000 50.4W	HP 635154	002320774
Wideford Hill	58 59.3N	003 01.5W	HY 412117	002320781
Needles	50 39.7N	001 34.6W	SZ 298848	002320775



Newhaven	50 46.9N	000 03.0E	TQ 446001	002320776
Selsey	50 43.8N	000 48.2W	SZ 845930	002320744
Butt of Lewis	58 30.8N	006 15.7W	NB 519663	002320715
Forsnaval	58 12.8N	007 00.4W	NB 061359	002320738
Melvaig	57 50.6N	005 46.9W	NG757900	002320717
Rodel	57 44.9N	006 57.5W	NG 053839	002320716
Hartland Point	51 01.3N	004 31.3W	SS 231275	002320778
Mumbles Hill	51 34.2N	003 59.1W	SS 624875	002320743
Severn Bridge (2)	51 36.7N	002 38.8W	ST554905	002320777
Bawdsey	51 59.6N	001 24.6E	TM 341382	002320795
MCA Thames	51 51.2N	001 16.8E	TM 259223	002320779
Langham	52 56.6N	000 57.2E	TF985423	002320773
Caister	52 36.5N	001 43.3E	TG 521077	002320733
Mablethorpe	53 18.6N	000 15.8E	TF509816	002320732
Solent (Daedalus)	50 48.4N	001 12.2W	SU561016	002320830

